

## APPENDIX 1: 2020/21 CDC Internal Audit Plan – Progress Report

Audit	Planned Qtr Start	Status as at: 08/12/2020	Conclusion
Support with due diligence tests on Small Business Grants and Discretionary Grants	Q1	Complete	Results previously reported to July AARC
Revenues and Benefits (including debtors)	Q2	Fieldwork	
Implementation of new Finance System (design of internal controls / processes, including design of IT security controls)	Q1	Phase 1 – complete Phase 2 –complete Phase 3 – Q4	
Finance (Housing - Hardship Fund) - Discretionary Housing Payments / Hardship Fund	Q4	Not started	
Cyber Security	Q1	Final Report	Amber
Payroll (including IT security controls)	Q3/Q4	Fieldwork	
Disabled Facilities Grant Processes (in addition to grant certification)	Q2	Final Report	Amber
Accounts, Audit & Risk Committee			
Handover of monitoring of management action implementation	Q1	Complete	n/a
Public Sector Internal Audit Standards – compliance	Q1-Q4	In progress IA Charter – complete	n/a
Grants			
Disabled Facilities Grant Certification	Q2	Complete	n/a